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Date: July 1, 2005

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To: Examiner F. Toledo  
U.S. Patent Office

JUL 01 2005

Fax No: 703-872-9306

Ph. No:

From: Andrew J. Telesz, Jr.

Subject: Serial No.: 10/689,936  
Our Ref. No.: IIZ.003D2C

No. of Pages (including cover): 9

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Comments:

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**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**Applicant(s): **Takashi Ohsumi**

Docket No.

UZ-003D2C

Serial No.  
10/689,936Filing Date  
October 22, 2003Examiner  
F. ToledoGroup Art Unit  
2823Invention: **METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS**

I hereby certify that this Letter Submitting Earlier Filed Information Disclosure Statement  
*(Identify type of correspondence)*  
is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-872-9306  
on July 1, 2005  
*(Date)*

Andrew J. Telesz, Jr.*(Typed or Printed Name of Person Signing Certificate)**(Signature)*

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**Via Facsimile 703-872-9306****IIZ.003D2C****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In re PATENT APPLICATION of**

Takashi Ohsumi

Group Art Unit: 2823

**RECEIVED  
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Serial No.: 10/689,936

Examiner: F. Toledo

JUL 01 2005

Filed: October 22, 2003

Conf. No.: 4027

**FOR: METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS  
INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS****LETTER RESUBMITTING EARLIER FILED INFORMATION DISCLOSURE  
STATEMENT**

U.S. Patent and Trademark Office  
Customer Window, Mail Stop Issue Fee  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Date: July 1, 2005

Sir:

The Notice of Allowability received along with the Notice of Allowance dated June 15, 2005, does not acknowledge receipt of the Information Disclosure Statement filed on April 25, 2005, in connection with the above identified application.

Accordingly, enclosed is a copy of the Information Disclosure Statement and Form PTO/SB/08A form filed on April 25, 2005. Also enclosed is a copy of a dated, stamped postcard receipt provided as evidence that the above noted Information Disclosure Statement was received by the U.S. Patent Office on April 25, 2005.

**Applicant respectfully requests the Examiner to acknowledge that the  
Information Disclosure Statement has been received, and to confirm that the**

Serial No.: 10/689,936

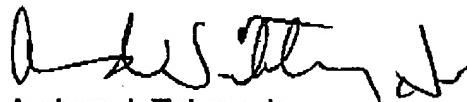
**documents will be cited of record.**

In the event that there are any outstanding matters remaining in the present application, please contact Andrew J. Telesz, Jr. (Reg. No. 33,581) at (571) 283-0720 in the Washington, D.C. area, to discuss these matters.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment for any additional fees that may be required, or credit any overpayment, to Deposit Account No. 50-0238.

Respectfully submitted,

VOLENTINE FRANCOS & WHITT, P.L.L.C.



Andrew J. Telesz, Jr.  
Registration No. 33,581

11951 Freedom Drive, Suite 1260  
Reston, Virginia 20190  
Telephone No.: (571) 283-0720  
Facsimile No.: (571) 283-0740

Enclosures: • Copy of Information Disclosure Statement and PTO/SB/08A form filed on April 25, 2005  
• Copy of dated, stamped postcard receipt

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
HZ.003D2C

In Re Application Of: **Takashi Ohsumi**

| Application No. | Filing Date      | Examiner  | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|-----------|--------------|----------------|------------------|
| 10/689,936      | October 22, 2003 | R. Toledo |              | 2823           | 4027             |

Title: **A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS**

Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

**Note: The references listed on Form PTO/SB/08A were cited in copending U.S. Application Serial No. 10/957,620, and thus may be material to the prosecution of the present application.**

P10A/REV05

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
 IIZ.003.D2C

In Re Application: Takashi Ohsumi

| Application No. | Filing Date      | Examiner  | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|-----------|--------------|----------------|------------------|
| 10/689,936      | October 22, 2003 | F. Toledo |              | 2823           | 4027             |

Title: A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 50-0238 as described below.
  - Charge the amount of \_\_\_\_\_
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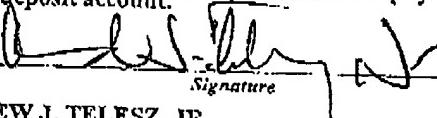
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(Date) \_\_\_\_\_

Signature \_\_\_\_\_

*Typed or Printed Name of Person Signing Certificate*

\*This certificate may only be used if paying by deposit account.



ANDREW J. TELESZ, JR.  
 EG. NO. 33,581

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on \_\_\_\_\_

(Date) \_\_\_\_\_

Signature of Person Mailing Correspondence \_\_\_\_\_

*Typed or Printed Name of Person Mailing Certificate*

Dated: April 25, 2005

VOLENTINE FRANCOS & WHITT, P.L.L.C.  
 ONE FREEDOM SQUARE  
 1951 FREEDOM DRIVE, SUITE 1260  
 ALEXANDRIA, VA 22319  
 TEL. NO. (703) 283-0720

P10A/REV05

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
IIZ.003D2C

In Re Application Of: Takashi Ohsumi

|                               |                                 |                       |              |                        |                          |
|-------------------------------|---------------------------------|-----------------------|--------------|------------------------|--------------------------|
| Application No.<br>10/689,936 | Filing Date<br>October 22, 2003 | Examiner<br>F. Toledo | Customer No. | Group Art Unit<br>2823 | Confirmation No.<br>4027 |
|-------------------------------|---------------------------------|-----------------------|--------------|------------------------|--------------------------|

Invention: A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS INCLUDING A SEALING MEMBER WITH REDUCED THERMAL STRESS

**COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

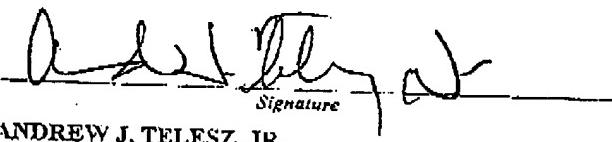
Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: April 25, 2005

ANDREW J. TELESZ, JR.  
REG. NO. 33,581

VOLENTE FRANCOS & WHITT, P.L.L.C.  
ONE FREEDOM SQUARE  
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TEL. NO. (571) 283-0720

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Substitute for Form 1410-10

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**MENT BY APPROVAL**  
Use as many sheets as necessary.

Sheet 1 of 1

| Complete if Known      |                  |
|------------------------|------------------|
| Application Number     | 10/689,936       |
| Filing Date            | October 22, 2003 |
| First Named Inventor   | Takashi Ohsumi   |
| Art Unit               | 2823             |
| Examiner Name          | F. Toledo        |
| Attorney Docket Number | 11Z 003D2C       |

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance. Indicate copy of this form with next communication to applicant. 14 CFR 1.105(e)(2) USG 2-1

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.4. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST 3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> For the appropriate symbol as indicated on the document under WIPO Standard ST 16, if possible.<sup>6</sup> Applicant is to place a checkmark here if Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ATTY DOCKET #: IIZ.003D26

APPLICANT: Takashi Ohsumi APR 25 2005 DUE DATE:

SERIAL NO.: 10/689,936

FILING DATE: October 22, 2003

TITLE: A METHOD FOR FABRICATING A SEMICONDUCTOR APPARATUS  
INCLUDING A SEALING MEMBER WITH REDUCED THERMAL  
STRESS

**RECEIPT OF THE FOLLOWING PAPERS IS ACKNOWLEDGED:**

Transmittal of Information Disclosure Statement; Statement Under 37 C.F.R.  
1.97(e) Accompanying Information Disclosure Statement; PTO/SB/08A Form;  
and Letter of Related Application.

DATE: April 25, 2005

ATTY: AJT

[Check No. N/A ]